



**Sword & Shield Pharma Ltd.**

Regd. Office : 311, Rajkamal Plaza-B, 4/B, Sattar Taluka Society,  
Opp. Old High Court, Ahmedabad-380 014 Gujarat, INDIA  
Telefax : 079-27543290 • E-mail : sspl2004@yahoo.com  
Works : 3001/M, G.I.D.C. Phase III, Chhatral-382 729,  
Dist. Gandhinagar (N.Gujarat) INDIA • Phone : (02764) 233322  
Website : www.swordnshieldpharma.com

Ref : List/CL-49/2/09-2015

Date : October 13, 2015

To,

**Bombay Stock Exchange Limited.**

Phiroze Jeebhoy Tower,

Dalal Street,

Mumbai – 400 001.

K.A. – Troydon Bird (Deputy Manager)

Respected Sir,

**Sub: Report on corporate Governance under Clause 49 of the Listing Agreement for the quarter ended September 2015.**

**Ref : Sword & Shield Pharma Limited**

**Scrip Code/Scrip ID : 531637/ SWORDNSH**

In reference to the captioned subject, Kindly find attached herewith Corporate Governance Report pursuant to Clause 49 of the Listing Agreement for the quarter ended September 2015.

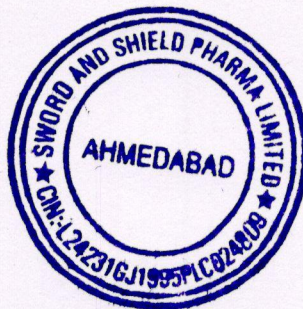
The aforesaid copy has been mailed to [corp.relations@bseindia.com](mailto:corp.relations@bseindia.com).

Please take in on your record and oblige us.

Thanking You,

For, Sword & Shield Pharma Limited

Pravinbhai Patel  
Managing Director  
DIN: 01877446







# Sword & Shield Pharma Ltd.

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## Quarterly Compliance Report on Corporate Governance under Clause 49 of the Listing Agreement

Name of the Company : Sword & Shield Pharma Limited  
Quarter ending on : September 30, 2015

Particulars	Clause of Listing Agreement	Compliance Status Yes/No	Remarks
<b>II. Board of Directors</b>	49 (II)		
(A) Composition of Board	49 (II A)	Yes	
(B) Independent Directors	49 (II B)	Yes	
(C) Non-executive Directors Compensation & Disclosures	49 (II C)	Yes	The Company does not pay any sitting fees or compensation to any Non-Executive Directors.
(D) Other Provisions as to Board and Committees	49 (II D)	Yes	
(E) Code of Conduct	49 (II E)	Yes	
(F) Whistle Blower Policy	49 (II F)	Yes	
<b>III. Audit Committee</b>	49 (III)		
(A) Qualified & Independent Audit Committee	49 (III A)	Yes	
(B) Meeting of Audit Committee	49 (III B)	Yes	
(C) Powers of Audit Committee	49 (III C)	Yes	
(D) Role of Audit Committee	49 (III D)	Yes	
(E) Review of Information by Audit Committee	49 (III E)	Yes	
<b>IV. Nomination and Remuneration Committee</b>	49 (IV)	Yes	
<b>V. Subsidiary Companies</b>	49 (V)	N.A.	The Company has no Subsidiary Companies.
<b>VI. Risk Management</b>	49 (VI)	N.A.	
<b>VII. Related Party Transaction</b>	49 (VII)	N.A.	
<b>VIII. Disclosures</b>	49 (VIII)		
(A) Related Party Transactions	49 (VIII A)	Yes	Will be given in the Annual Report for F.Y. 2015-16.
(B) Disclosure of Accounting Treatment	49 (VIII B)	Yes	Will be given in the Annual Report for F.Y. 2015-16.
(C) Remuneration of Directors	49 (VIII C)	Yes	Will be given in the Annual Report for F.Y. 2015-16.







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(D) Management	49 (VIII D)	Yes	Will be given in the Annual Report for F.Y. 2015-16.
(E) Shareholders	49 (VIII E)	Yes	Will be given in the Annual Report for F.Y. 2015-16.
(F) Disclosure of Resignation of Directors	49 (VIII F)	N.A.	None of the Directors resigned during quarter.
(G) Disclosure of Formal Letter of appointment	49 (VIII G)	Yes	
(H) Disclosure in the Annual Report	49 (VIII H)	Yes	Will be duly Complied with in the Annual Report for FY 2015-16.
(I) Proceeds from public issues, rights issue, preferential issues, etc	49 (VIII)	N.A.	
IX. CEO/CFO Certification	49 (IX)	Yes	Will be duly Complied with in the Annual Report for FY 2015-16.
X. Report on Corporate Governance	49 (X)	Yes	Will be duly Complied with in the Annual Report for FY 2015-16.
XI. Compliance	49 (XI)	Yes	Will be duly Complied with in the Annual Report for FY 2015-16.

For, Sword & Shield Pharma Limited



Pravinbhai Patel  
Managing Director

DIN: 01877446

Place : Ahmedabad

Date : October 13, 2015.